

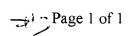
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United States Patent and Trademark Office

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER William E. Cohn

10/068,700 02/05/2002 VIA-16

CONFIRMATION NO. 9211 FORMALITIES LETTER

OC000000007609668

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice <u>MUST</u> be returned with the reply.

ial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/12/2002 ABSMAN1 00000059 10068700

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Mim 18-09-02

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John R. Liddicoat et al.

Serial No.:

10/068,700

Filing Date:

02/05/2002

Title:

APPARATUS AND METHOD FOR REDUCING

MITRAL REGURGITATION

Group Art Unit:

3738

Attorney's Docket No.:

VIA-16

DATE OF DEPOSIT AUGUST 8, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

EXPRESS MAIL" MAILING LABEL NUMBER £79/654354846

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Assistant Commissioner for Patents

Washington, DC 20231

Sir:

(SIGNATURE)

TO FILE MISSING PARTS OF

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant hereby respectfully submits the following:

(1) a DECLARATION AND POWER OF ATTORNEY document listing the names of each of the inventors, specifically identifying the application by serial number and filing date, and signed by the inventors, John R. Liddicoat, Steven B. Woolfson, Richard B. Streeter and William E. Cohn;

- (2) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED, as requested in the Notice; and
- (3) one (1) check in the amount of \$65.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Small Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

James a. Sheridan 8/8/02

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PS/VIA16.RES